

CySEC's
exams



Your Partner in Financial Services!

Preparation Courses for CySEC's September 2014 Certification Exams!

MAP S.Platis
EXECUTIVE TRAINING DEPARTMENT

Banks
Asset Management Companies

Social Trading Platforms
Payment Institutions
International Collective Investment Schemes

Investment Advisory Firms
FX Brokers
Licenses and Comprehensive Support

Liquidity Providers
Prime Brokers

European UCITS
Investment Funds
Alternative Investment Fund Managers

Market Makers
Electronic Money Institutions
Binary Options Brokerages
Brokerage Houses



Summary

Objective:

Preparation courses for taking CySEC's **Basic** or **Advanced** Certification Exams in September 2014

Schedule:

Wednesdays (16:30 – 20:30) and Saturdays (09:00 – 14:00) with courses running through June and July 2014 - see provisional dates in page 5 below

Duration:

55 academic hours for Advanced Examination | 35 academic hours for Basic Examination (both including revision)

Location:

Limassol: Curium Palace Hotel

Nicosia: European University Cyprus

Introduction to the New CySEC's Exams

Based on CySEC's latest [Announcement](#), dated 17/04/2014, the new exams for the certification of persons providing investment services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies and Investment Companies of Variable Capital are planned to take place in September 2014.

On November 9, 2012, pursuant to Law L154(I)/2012, CySEC was appointed as the new authority for conducting the examinations for certification of persons in accordance with the provisions of section 53 of the Investment Services and Activities and Regulated Markets Law of 2007 – 2012 (see relevant [Announcement](#)).

Based on the [New Framework](#), two (2) examinations are defined, which will relate to the legal framework on the capital market: The Basic Examination and The Advanced Examination.

Basic Level Examination

Requires basic knowledge of the legal framework on the capital market and concerns persons who wish to be certified only for the provision of the Investment Services of:

- Reception and transmission of orders in relation to one or more financial instruments
- Execution of orders on behalf of clients

Advanced Level Examination

Requires a very good knowledge of the Cyprus and European legal framework on the capital markets, and concerns persons who wish to be certified for the provision of all Investment Services:

- Reception and transmission of orders in relation to one or more Financial Instruments
- Execution of orders on behalf of clients
- Dealing on own account
- Portfolio Management
- Investment Advice
- Underwriting and/or placing of financial instruments whether on a firm commitment basis or not

MAP S.Platis Executive Training

MAP S.Platis Executive Education Training has been preparing professionals with respect to examination for the provision of investment services in Cyprus since 2006. Our students' exams success rate was well above the average success rate consistently for a number of years. Click on each year to see some of our past exams results ([2010](#), [2009](#), [2008](#), [2007](#), [2006](#)).

Instructors

The courses will be taught by **five prominent instructors**, each one with practical experience and expertise on the subject to be taught.

All instructors have prior teaching experience and are renowned professionals, qualified practitioners and specialists with Doctorates and Masters from world-renowned universities, such as the University of Cambridge, Imperial College, the LSE and the London Business School.

The courses run under the close supervision of the Programmes Academic Coordinator of MAP S.Platis Training, Dr. Stelios Platis, PhD (Cambridge).

Target Audience of MAP S.Platis CySEC Exams Preparation Courses

- Existing and prospective executives and supervisors of Banks, Cypriot Investment Firms (CIFs) as well as UCITS and AIF managers
- Existing and prospective employees of Banks, CIFs as well as UCITS and AIF managers responsible for the provision of investment services
- Compliance Officers, Internal Auditors, Anti-Money Laundering Compliance Officers and Risk Managers of Banks, Cypriot Investment Firms (CIFs) as well as UCITS and AIF managers

Courses Focus

- **Passing the exams** - the Courses focus primarily on the [Syllabus of CySEC](#) for the certification of persons providing Investment Services and employed by Cypriot Investment Firms, Credit Institutions, Management Companies and Investment Companies of Variable Capital, which is a requirement for the provision of investment services in Cyprus
- **Operating within the legal framework** - understanding how best to operate within the applicable Cypriot and European Union legal and regulatory framework and practice, and become a successful player in the European financial arena
- **Getting the technical tools** - understanding the regulatory technical tools that are available to operate in the capital markets and enhance your financial strategies and risk management compliance processes

Courses Content

Basic Certificate	Advanced Certificate
<p>Investment Services and Activities Regulated Markets Law:</p> <ul style="list-style-type: none"> • Scope and Application of the Cyprus Implementation of MiFID (Law144(I)/2007) • Cyprus Regulatory Structure • Responsibilities of CySEC • Offenses 	<p>Investment Services and Activities Regulated Markets Law:</p> <ul style="list-style-type: none"> • Scope and Application of the Cyprus Implementation of MiFID (Law144(I)/2007) • Cyprus Regulatory Structure • Responsibilities of CySEC • Offenses
<p>Cypriot Investment Firms (CIFs):</p> <ul style="list-style-type: none"> • Authorisation • Conduct of Business Obligations • Best Execution • General CIF Obligations • Market Transparency and Integrity • Capital Adequacy Requirements • Regulated Markets 	<p>Cypriot Investment Firms (CIFs):</p> <ul style="list-style-type: none"> • Authorisation • Conduct of Business Obligations • Best Execution • General CIF Obligations • Market Transparency and Integrity • Capital Adequacy Requirements • Regulated Markets
<p>Insider Dealing and Market Manipulation (Market Abuse) Law (2005):</p> <ul style="list-style-type: none"> • Inside Information • Provisions Relating to Issuers of Financial Instruments • Market Manipulation • Provisions in Relation to Persons who Professionally Arrange Transactions 	<p>Insider Dealing and Market Manipulation (Market Abuse) Law (2005):</p> <ul style="list-style-type: none"> • Inside Information • Provisions Relating to Issuers of Financial Instruments • Market Manipulation • Provisions in Relation to Persons who Professionally Arrange Transactions
<p>Open-Ended Undertakings for Collective Investment Law (2012):</p> <ul style="list-style-type: none"> • Undertakings for Collective Investment in Transferable Securities (UCITS) • Obligations of UCITS • UCITS Structures • Management Companies 	<p>Open-Ended Undertakings for Collective Investment Law (2012):</p> <ul style="list-style-type: none"> • Undertakings for Collective Investment in Transferable Securities (UCITS) • Obligations of UCITS • UCITS Structures • Management Companies
<p>The Alternative Investment Fund Managers Law (2013):</p> <ul style="list-style-type: none"> • General Requirements • Organizational Requirements 	<p>The Alternative Investment Fund Managers Law (2013):</p> <ul style="list-style-type: none"> • General Requirements • Organizational Requirements • Managing Specific Types of AIF
<p>The Prevention and Suppression of Money Laundering and Terrorist Financing Laws of 2007 and 2010:</p> <ul style="list-style-type: none"> • Special Provisions in Respect of Financial and Other Business Activities 	<p>The Prevention and Suppression of Money Laundering and Terrorist Financing Laws of 2007 and 2010:</p> <ul style="list-style-type: none"> • Special Provisions in Respect of Financial and Other Business Activities • Financial Organisations Responsibilities

<p>CIFs And Banks:</p> <ul style="list-style-type: none"> • Organisational Requirements • Specific Requirements • Conflicts of Interest • Conduct of Business Requirements • Investor Compensation Funds • European Union 	<p>CIFs And Banks:</p> <ul style="list-style-type: none"> • Organisational Requirements • Specific Requirements • Conflicts of Interest • Conduct of Business Requirements • Investor Compensation Funds
	<p>The Banking Laws of 1997 to 2013:</p> <ul style="list-style-type: none"> • General • Capital • Returns and Accounts
	<p>European Union:</p> <ul style="list-style-type: none"> • European Commission (EC) Regulations • European Securities and Markets Authority (ESMA)
	<p>Guidelines for Investment Firms:</p> <ul style="list-style-type: none"> • Professional Standards • Compliance Function • Systems and Controls in an Automated Trading Environment • Suitability Requirements
	<p>Applied Risk Management:</p> <ul style="list-style-type: none"> • Supervisory Review and Evaluation Process (SREP) • The Internal Capital Adequacy Assessment Process (ICAAP)

Courses Structure

- **Two Open Courses** with **Revision Courses** are offered for the September 2014 CySEC Exams. All certificates are covered in a scientific and carefully structured way to enhance learning and better the chances for success for all participants, irrespective of their background.
- Courses are spread over 5 weeks which include Wednesdays and Saturdays, as well as a one-day revision and span over **55 academic hours** for the Advanced Examination and **35 academic hours** for the Basic Examination.
- Classes take place at 16:30 to 20:30 on Wednesdays and 09:00 to 14:00 on Saturdays.
- **Provisional Dates:**
 - **Limassol Open Course:** 4th June to 2nd July 2014
 - **Limassol Revision Course:** 6th September 2014
 - **Nicosia Open Course:** 18th June to 16th July 2014
 - **Nicosia Revision Course:** 13th September 2014

- A full set of professionally prepared notes, CD with all relevant Laws, Regulations, Directives and Circulars and the one-day revision course is included in the price.
- **Preparatory Exam Questions** and answers will be provided.
- All participants will be awarded a **Certificate of Attendance**. Each hour of attendance will account for one unit of CPD as required for members of most professional bodies.

Limassol

No.	Date	Time	Certificate
1	Wednesday 04/06/2014	16:30 – 20:30	Basic & Advanced
2	Saturday 07/06/2014	09:00 – 14:00	Basic & Advanced
3	Wednesday 11/06/2014	16:30 – 20:30	Basic & Advanced
4	Saturday 14/06/2014	09:00 – 14:00	Basic & Advanced
5	Wednesday 18/06/2014	16:30 – 20:30	Basic & Advanced
6	Saturday 21/06/2014	09:00 – 14:00	Advanced
7	Wednesday 25/06/2014	16:30 – 20:30	Advanced
8	Saturday 28/06/2014	09:00 – 14:00	Advanced
9	Wednesday 02/07/2014	16:30 – 20:30	Advanced
10	Saturday 06/09/2014	08:30 – 18:30	REVISION

Nicosia

No.	Date	Time	Certificate
1	Wednesday 18/06/2014	16:30 – 20:30	Basic & Advanced
2	Saturday 21/06/2014	09:00 – 14:00	Basic & Advanced
3	Wednesday 25/06/2014	16:30 – 20:30	Basic & Advanced
4	Saturday 28/06/2014	09:00 – 14:00	Basic & Advanced
5	Wednesday 02/07/2014	16:30 – 20:30	Basic & Advanced
6	Saturday 05/07/2014	09:00 – 14:00	Advanced
7	Wednesday 09/07/2014	16:30 – 20:30	Advanced
8	Saturday 12/07/2014	09:00 – 14:00	Advanced
9	Wednesday 16/07/2014	16:30 – 20:30	Advanced
10	Saturday 13/09/2014	08:30 – 18:30	REVISION

Attendance fees:

Basic Certification: €350 + VAT

Advanced Certification: €550 + VAT

*Discounts apply for **MAP S.Platis Group clients*** and groups of 3+ persons*

**MAP S.Platis Group clients: Cyprus Investment Firms with an annual retainer with MAP S.Platis (i.e. Internal Audit, Risk Management, AML Compliance, Compliance).*

Language of Instruction

English

Venue

Limassol Course: Curium Palace Hotel

Nicosia Course: European University Cyprus

How to Enroll?

You can register for the courses by completing, on **page 8**, the Application Form (which can also be completed electronically) and sending it by email to training@mapsplatis.com or by fax to (+357) 2535 1330. Alternatively, you can visit our website at http://www.mapsplatis.com/CySEC_Exams_Sept14 and register online.

Registration Deadline: The registration deadline is on **Friday the 30th of May 2014**. Places are necessarily limited and are reserved only upon payment.

More information, changes and/or updates regarding our courses, seminars and conferences are available at: <http://www.mapsplatis.com/training>

NICOSIA: 25 Demostheni Severi Avenue, Metropolis Tower, 4th Floor, 1080 Nicosia, Cyprus

LIMASSOL: 74 Archiepiskopou Makariou C', Amaranton Court, 3rd Floor, Mesa Geitonia, 4003 Limassol, Cyprus

Telephone: (+357) 2535 1335

Fax: (+357) 2535 1330

Preparation Courses for CySEC's September 2014 Certification Exams



Your Partner in Financial Services!

APPLICATION FORM

Please print one application form per person

Registration Deadline: Friday 30th of May 2014

Company Details:

Name: _____

Address: _____

Postcode: _____

Town: _____

Telephone: _____

Email: _____

Participant Details:

Please select the location of the Preparation Courses you wish to attend:

Nicosia:

Limassol:

Please select the Preparation Courses you wish to attend:

Basic:

Advanced:

Full Name: _____

Job Title: _____

Telephone: _____

Mobile: _____

Fax: _____

Email: _____

The application form can be completed electronically. Please use one application form per person attending the Courses.

Once completed please send by email to **training@mapsplatis.com** or **by fax to 2535 1330**.

Alternatively, you can visit our website and register <http://www.mapsplatis.com/training>

*Places are reserved only upon payment

In the event that a Seminar/Course is cancelled, MAP S.Platis shall refund fully any payments received by the participants within 30 days.

Please note that a Seminar/Course will only run subject to a minimum number of participants reserving a place and that MAP S.Platis neither shall bear any responsibility and/or liability in respect of any damages to the participants in relation to any cancellation of the Seminar/Course.

MAP S.Platis reserves the right to turn down an application for participation, at its own discretion.

Registrations are not transferable to other persons, unless mutually agreed between the respective participants and MAP S.Platis.