

**TO:**

- 1. Members of the Cyprus Stock Exchange (CSE)**
- 2. Cyprus Investment Firms (CIFs)**
- 3. Administrative Service Providers (ASP- Regulated by the Cyprus Securities and Exchange Commission)**
- 4. Cyprus Bar Association**
- 5. Institute of Certified Public Accountants of Cyprus (ICPAC)**
- 6. CSE Nominated Advisors**

**FROM:** Acting General Manager of the Cyprus Stock Exchange

**SUBJECT:** **Presentation/Seminar entitled “The Stock Exchange Legislation for persons interested in being registered as Nominated Advisors for the Emerging Companies Market of the CSE” – 2 December 2021**

**DATE:** 18 October 2021

**CIRCULAR NO.:** **12–2021, 10-2021**

---

Dear Sirs,

The Cyprus Stock Exchange (CSE) organises a Seminar entitled “*The Stock Exchange Legislation for persons interested in being registered as Nominated Advisors*”. In this Seminar, extensive information will be provided on the Stock Exchange Legislation and the Regulatory Decisions of the Council of the Stock Exchange. It is addressed to persons interested in being registered as Nominated Advisors for the Emerging Companies Market of the Cyprus Stock Exchange or persons employed in companies, which are already Nominated Advisors for the CSE.

The seminar will be held on **Thursday, 2 December 2021 at 10:00 a.m. – 13:00 p.m.**  
**The participation fee is €70.**

The Emerging Companies Market is a Multilateral Trading Facility (MTF) as provided for in the Investment Services and Activities and Regulated Markets Law 144(1)/2007. It is managed by the Cyprus Stock Exchange pursuant to the Regulatory Decisions set by the CSE. It is characterised as a “non-regulated” market and does not fall within the provisions which apply to regulated markets. The purpose of this Seminar is to explain the role of Nominated Advisors, their duties, the requirements for their registration and supervision and the acquisition of knowledge on the Stock Exchange Legislation and the Council’s Regulatory Decisions.

In this Seminar, CSE officials will provide extensive information on this Market. More specifically, the following will inter alia be presented:

- a) General Characteristics of CSE Markets / Statistics
- b) Requirements and methods for listing on Regulated Markets
- c) Requirements and methods for listing on the Emerging Companies Market
- d) Information on issuers' ongoing obligations
- e) Role/responsibilities and registration procedure of a Nominated Advisor
- f) Application for listing on the Emerging Companies Market – Information Document Drafting
- g) Central Securities Depository/Central Registry
- h) Discussion/Questions

**The Seminar will be in Greek.**

The seminar will be conducted either online or with physical presence, depending on the number of participants. You will be notified accordingly.

**Please note the following:**

The participation form together with a cheque for the amount of €70 as participation fee or a copy of the deposit slip of the participation fee to the CSE account, should be emailed to the CSE: [info@cse.com.cy](mailto:info@cse.com.cy) or sent to the CSE offices: 71 – 73, Lordos Vryonos Avenue, 1096 Nicosia, P.O. Box 25427, 1309 Nicosia, tel.: 22712300, fax: 22570308 **by 25 November 2021.**

It is further noted that you may deposit the participation fee to the following accounts of the CSE:

**Bank of Cyprus Public Company Ltd, Account No.: 0120-05-020334-00**  
**Hellenic Bank Public Company Ltd, Account No.: 106-11-033879-00**

Thanking you in advance for your positive response, we remain at your disposal for any clarifications you may require.

Yours,

Maria Petsa  
Head of the Directorate for the Listing of Securities and Markets  
(Acting General Manager)

Encl.

## PARTICIPATION FORM

We wish to inform you that we are interested in participating in the Presentation/Seminar organised by the Cyprus Stock Exchange entitled:

**«The Stock Exchange Legislation for persons interested in being registered as Nominated Advisors for the Emerging Companies Market»**

<b>Company Name:</b> .....		
<b>Please tick <input type="checkbox"/> where applicable:</b>		
1. <b>Cyprus Investment Firm (CIF)</b> .....		
2. <b>Administrative Service Provider (ASP – Supervised by the Cyprus Securities and Exchange Commission)</b> .....		
3. <b>Law Firm (registered with the Cyprus Bar Association)</b> .....		
4. <b>Audit Firm (registered with the ICPAC)</b> .....		
5. <b>Approved CSE Nominated Advisor</b>		
<b>Telephone:</b> .....	<b>Fax:</b> .....	
<b>Address:</b> .....		
<b>Email:</b> .....		
<b>NAME OF PARTICIPANTS</b>		<b>POSITION IN COMPANY</b>
1. ....		.....
2. ....		.....
3. ....		.....
<b>DATE:</b> .....	<b>SIGNATURE:</b> .....	
<b>Note:</b> Please attach hereto a cheque or a copy of the deposit slip for the participation fee and email it to: <a href="mailto:info@cse.com.cy">info@cse.com.cy</a> or send it to the CSE offices at 71 – 73, Lordos Vironos Avenue, 1096 Nicosia, P.O. Box 25427, 1309 Nicosia, tel: +357 22712300, fax: +357 22570308		