

TO : Members of the Cyprus Stock Exchange
FROM : Acting General Manager of the Cyprus Stock Exchange
SUBJECT: **Obligations of CSE Members**
DATE : 14 December 2023
CIRCULAR NO. : **31-2023**

Sirs,

In order to ensure compliance with their obligations, all CSE Members are requested to send the attached Annex, duly completed and signed, setting out in detail the Directors, certified Stockbroker Representatives, Assistant Stockbroker Representatives and Clearing Officers who continue to be employed by the Member, as well as other Member information. The Annex must be completed and sent to the CSE by Monday, 10 January 2024 at the latest (CSE Fax No. 0035722570308, or at info@cse.com.cy). This is necessary in order to fully update the CSE records for purposes of providing better service to CSE Members.

We wish to remind you that in the attached Annex, it is necessary to complete, amongst others, the Legal Entity Identifier code (LEI), the mobile phone No. and the email address of the Certified Stockbroker Representative for direct communication. You must also state whether you are using the services of Direct Electronic Access and Algorithmic Trading.

For any additional information or explanations, you may contact the Officers in charge, Mr. Michalis Michael or Ms Maria Solomou, at 22712300.

Thank you for your collaboration.

Yours,

Maria Petsa
Head of the Directorate for the Listing of Securities and Markets
(for Acting General Manager)

Cc: Cyprus Securities and Exchange Commission

Encl.

APPENDIX

MEMBER INFORMATION

Complete the Appendix preferably electronically, sign and send it to the CSE, Fax No. 0035722570308 or email: info@cse.com.cy

NAME OF MEMBER				
LEI CODE				
ADDRESS		TELEPHONE	FAX	EMAIL
POSTAL CODE				
CITY-COUNTRY				
BOARD OF DIRECTORS	FULL NAME	TELEPHONE	FAX	EMAIL
CHAIRMAN				
VICE-CHAIRMAN				
MEMBERS OF THE BOARD				
GENERAL MANAGER	FULL NAME	TELEPHONE	FAX	EMAIL
STOCKBROKER REPRESENTATIVES	FULL NAME	MOBILE TELEPHONE	FAX	EMAIL
(Active and Certified by the CSE)				
ASSISTANT STOCKBROKER REPRESENTATIVES	FULL NAME	TELEPHONE	FAX	EMAIL
(Active and Certified by the CSE)				

APPENDIX

CLEARING OFFICERS (Active and Cerfited by the CSE)	FULL NAME	TELEPHONE	FAX	EMAIL

TECHNICAL OFFICER	FULL NAME	TELEPHONE	FAX	EMAIL

INTERNAL AUDITOR	FULL NAME	TELEPHONE	FAX	EMAIL

SHAREHOLDERS (NATURAL OR LEGAL PERSONS) DIRECTLY OR INDIRECTLY HOLDING MORE THAN 10% OF THE COMPANY'S SHARE CAPITAL OR VOTING RIGHTS	FULL NAME/COMPANY	ID NO./REG.NO	ADDRESS	PERCENTAGE IN SHARE CAPITAL

STATE IF YOU ARE USING THE SERVICES OF	YES	NO
DIRECT ONLINE ACCESS		
ALGORITHM TRANSACTIONS		

FULL NAME OF MEMBER'S GENERAL MANAGER SIGNATURE SEAL	
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DATE	
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«Personal data collected or sent by the CSE upon submission of this request are processed for carrying out the transaction / operation / action / service requested, fulfilling the duties of the CSE in the exercise of public authority and / or in the public interest and for compliance with legal obligations».